

12/20/01

JC678 U.S. PTO

12-31-01

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Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **P105 CON 3**First Inventor or Application Identifier **Matthew J. Birdsall**Title **Profiled Stent and Method of Manufacture**Express Mail Label No. **EV 005741809 US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patent  
Box Patent Application  
Washington, DC 202311. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages **24**]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **8**]4. Oath or Declaration [Total Pages **2**]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELED UPON (37 C.F.R. 1.28).**5. ☐ Microfiche Computer Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 7. ☐ Assignment Papers (cover sheet & document(s))
- 8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11. ☒ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application  
(PTO/SB/09-12) Status still proper and desired
- 14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15. ☒ Other: See 1 in Addendum

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/608,882**  
Prior application information: Examiner **M. Thaler** Group / Art Unit: **3731****For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	<b>28390</b>			
PATENT TRADEMARK OFFICE				
Address				
City	State	Zip Code		
Country	Telephone	Fax		

Name (Print/Type)	<b>Michael J. Jaro</b>	Registration No. (Attorney/Agent)	<b>34,472</b>
Signature		Date	<b>12/19/01</b>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="margin: 0;"><i>Patent fees are subject to annual revision</i></p> <p style="margin: 0;">Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 CFR. 1.27 and 1.28.</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td></td> </tr> <tr> <td>First Named Inventor</td> <td>Matthew Birdsall</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td>1</td> </tr> <tr> <td>Attorney Docket No.</td> <td>P105 CON 3</td> </tr> </table>		Application Number		Filing Date		First Named Inventor	Matthew Birdsall	Examiner Name		Group / Art Unit	1	Attorney Docket No.	P105 CON 3
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<p><b>TOTAL AMOUNT OF PAYMENT</b> (\$)</p> <p style="font-size: 1.2em;">878.00</p>															

<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to</p> <p>Deposit Account Number: 01-2525</p> <p>Deposit Account Name: Medtronic AVE, inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. 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143 430	243 215			Design issue fee	0.00																																																																																																																																																																																																																																																																																																																
144 580	244 290			Plant issue fee	0.00																																																																																																																																																																																																																																																																																																																
122 130	122 130			Petitions to the Commissioner	0.00																																																																																																																																																																																																																																																																																																																
123 50	123 50			Petitions related to provisional applications	0.00																																																																																																																																																																																																																																																																																																																
126 240	126 240			Submission of Information Disclosure Stmt	0.00																																																																																																																																																																																																																																																																																																																
581 40	581 40			Recording each patent assignment per property (times number of properties)	0.00																																																																																																																																																																																																																																																																																																																
146 690	246 345			Filing a submission after final rejection (37 CFR 1.129(a))	0.00																																																																																																																																																																																																																																																																																																																
149 690	249 345			For each additional invention to be examined (37 CFR 1.129(b))	0.00																																																																																																																																																																																																																																																																																																																
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type) Michael J. Jaro	Registration No. (Attorney/Agent) 34,472	Telephone 566-1746	Date 12/19/01
Signature			

**WARNING:**

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